

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Rutkowski et al.

Group Art Unit:

Serial No.:

Examiner:

Filed:

For ROBOTIC PEN

:

## INFORMATION DISCLOSURE STATEMENT

1 **Pursuant to 37CFR 1.97(b)**  
[within 3 months of national, non-CPA filing or prior to 1st Office Action] *no charge*

2 **Certification Pursuant to 37 CFR 1.97(c)(1)**  
[before Final Office Action, Allowance, or other action closing prosecution] *no charge*

3 **Fee Payment Pursuant to 37 CFR 1.97(c)(2)**  
[before Final Office Action, Allowance, or other action closing prosecution] *\$180.00*

4 **Certification & Fee Payment Pursuant to 37 CFR 1.97(d)**  
[On or before issue fee payment] *\$180.00*

ATTN: BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98:

5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.	
_____ Date of Deposit	
_____ Type or Print Name	
_____ Signature	

This paper is submitted in accordance with:

- 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
- 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- 8 The required Certification made in item 11 below; or
- 9 The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- 10 37 CFR 1.97(d): [on or before issue fee payment]; and
  - a) The required Certification is stated in item 11 below; and
  - b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.

11 Certification

- 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- 13 No item contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.

14 Payment of all applicable fees:

- 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0868

An original and two (2) copies of this document are enclosed.

Respectfully submitted,

*July 9th, 2003*  
\_\_\_\_\_  
Date

General Electric Company  
CRD Patent Docket Rm 4A59  
P.O. Box 8, Bldg. K-1  
Schenectady, New York 12301  
Custom r Number: 006147

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FORM PTO-1449 (REV. 7-80) (Title Amended 3/83)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 126762	SERIAL NO.
INFORMATION DISCLOSURE STATEMENT BY APPLICANT-- <u>LIST OF ITEMS</u>  (Use several sheets if necessary)		Applicant Rutkowski et al.			
		Filing Date		Group	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	DOCUMENT NUMBER		DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
BA							
BB							
BC							
BD							
BE							
BF							
BG							
BH							
BI							
BJ							
BK							

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	No
BL							
BM							
BN							
BO							
BP							

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages, Etc.)		
BR	US Publication 2002/0001675 A1, Tisone <input checked="" type="checkbox"/>	
BS	Haas Automation, Inc., "The Tool Room Mill, Quick Start Guide", 7/2001, brochure, 4 pages <input checked="" type="checkbox"/>	
BT	Harvard Apparatus Co., "Harvard PHD 2000 Advanced Syringe Pumps", date 2001, brochure, 2 pages <input checked="" type="checkbox"/>	

EXAMINER	DATE CONSIDERED
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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		Filing Date		Group	

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER		DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
CA							
CB							
CC							
CD							
CE							
CF							
CG							
CH							
CI							
CJ							
CK							

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
	CL							
	CM							
	CN							
	CO							
	CP							

## OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

	CR	Syringe pump.com, "Multi-phaser Model: NE-1000", 1/28/02, brochure, 42 pages
	CS	OhmCraft, Inc., "Model 400a User's Manual", 12/2000, 144 pages ✓
	CT	

EXAMINER DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.  
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FORM PTO-1449  
(REV. 7-80)

(Title Amended 3/83)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

126762

SERIAL NO.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT--  
LIST OF ITEMS

(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6	4	0	1	7	9	5	✓	Cesarano, III		
	AB	6	3	6	0	5	6	2	✓	Kodas et al.		
	AC	6	3	3	2	9	2	6	✓	Pfaendtner et al.		
	AD	6	0	9	5	7	2	9	✓	Pattison et al.		
	AE	5	9	1	6	5	2	4	✓	Tisone		
	AF	5	7	2	7	2	9	6	✓	Kobler et al.		
	AG	5	7	1	7	5	9	9	✓	Menhennett et al.		
	AH	5	6	5	6	0	3	4	✓	Kochersperger et al.		
	AI	5	6	1	3	9	2	9		Bayer		
	AJ	4	4	7	7	7	5	4		Roch et al.		
	AK											

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	No
	AL			0	1	8	2	5	05/10/84	International Application				
	AM													
	AN													
	AO													
	AP													

## OTHER INFORMATION (Including Author, Title, Date, Pertinent pages, Etc.)

	AR	US Publication 2002/0136613 A1, Tidwell, 9/26/02, Rotary Actuator for Precision Applications
	AS	US Publication 2002/0077233 A1, Oldani,
	AT	US Publication 2002/0045861 A1, Woo,

EXAMINER DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.